

Ticker	Name	Date of 13D Filing	Activism Position Start Date	Western Holding End Date	Activism Result
BPS	Blackrock Pennsylvania Strategic Municipal Trust Fund	6/1/2005	1/31/2003	9/30/2005	Raised dividend
BSD	The Blackrock Strategic Municipal Trust	6/1/2005	11/30/2002	9/30/2005	Raised dividend
FVD	First Trust Value Line® Dividend Index Fund	12/12/2005	1/31/2005	3/31/2007	Converted to an ETF at NAV
HTD	John Hancock Tax Advantaged Dividend Fund	1/31/2008	7/1/2007	1/15/2009	Raised dividend
MCGC	MCG Capital Corporation	No Filing	4/16/2008	5/31/2010	Avoided dillution and share sales
MZF	MBIA/Claymore Managed Duration Investment Grade Municipal Fund	8/27/2008	12/1/2007	4/9/2010	Raised dividend, Tender 15% of Shares at 98% of NAV + Ongoing Tenders if > 5% Discount
NBO	Neuberger Bergman New York Intermediate Municipal Fund	4/14/2009	9/1/2008	2/23/2010	Tender 10% of shares at 98% of NAV + Ongoing Tenders if > 10% Discount
NBW	Neuberger Bergman California Intermediate Municipal Fund	1/22/2009	9/1/2008	2/23/2010	Tender 10% of shares at 98% of NAV + Ongoing Tenders if > 10% Discount
NDD	Neuberger Berman Dividend Advantage Trust	12/29/2008	5/1/2007	2/23/2010	Liquidation
NHS	Neuberger Berman High Yield Strategies Fund	No Filing	12/1/2008	2/24/2010	Tender 10% of shares at 98% of NAV + Ongoing Tenders if > 10% Discount
NOX	Neuberger Berman Income Opportunity Fund	3/2/2009	11/1/2007	2/24/2010	Tender 10% of shares at 98% of NAV + Ongoing Tenders if > 10% Discount
NRI	Neuberger Berman Realty Income Fund	9/20/2006	11/30/2005	3/31/2008	Raised dividend
NRL	Neuberger Berman Real Estate Income Fund	3/21/2005	8/31/2004	9/30/2007	Tender for all shares at 99% NAV
NRO	Neuberger Berman Real Estate Securities Income Fund	2/20/2007	1/1/2006	7/1/2009	Raised dividend, tenders at 98% NAV
PBF	Pioneer Municipal and Equity Income Trust	1/26/2007	7/1/2006	11/4/2008	Open ended
PPM	Investment Grade Municipal Income Fund	10/9/2008	12/1/2007	5/10/2010	Liquidation in May 2010
RIT	LMP Real Estate Income Fund	9/16/2005	8/31/2004	6/30/2007	Raised dividend
RTU	Cohen & Steers REIT & Utility Fund	12/21/2007	4/1/2007	2/26/2010	Raised dividend + 10% buyback. Merged into UTF
SBF	Salomon Brothers Fund	7/22/2005	6/30/2004	8/31/2006	Open ended
SEL	Seligman Select Municipal Fund	9/1/2008	7/1/2008	4/1/2009	Open ended
SFO	Sunset Financial Resources	3/17/2005	3/1/2004	12/31/2006	Improved merger terms
TY	Tri-Continental Corporation	1/6/2006	8/31/2002	1/31/2009	In-kind and Regular Tenders at 99.25% of NAV
UTF	Cohen & Steers Infrastructure Fund	11/26/2007	1/1/2007	12/31/2008	Raised dividend + 10% buyback
ZF	Zweig Fund	4/16/2004	11/1/2003	9/30/2005	Raised dividend
ZTR	Zweig Total Return Fund	4/26/2004	11/30/2003	6/30/2005	Raised dividend